# Two-Dimensional Lennard-Jones Clusters 

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#### Abstract

A growth sequence has been developed to attempt to find the absolutely minimal energy structure for a cluster of $n$ atoms in two dimensions. This sequence produces 62 of the global minima obtained by a random search for $1 \leq n \leq 80$. It also yields energies lower than or equal to the previous best known minima for all but two of 19 sizes reported in MINPACK-2 (B.M. Averick, R. G. Carter, J. J. Moré, and G. L. Xue, "The MINPACK-2 Test Problem Collection," Argonne National Laboratory, Mathematics and Computer Science Division, Technical Memorandum No. 150, June 1992, p. 44).


## I. INTRODUCTION

We examine clusters of atoms that interact via the Lennard-Jones (LJ) potential,

$$
\begin{equation*}
V_{\mathrm{LJ}}=\sum_{i=1}^{n-1} \sum_{j=i+1}^{n}\left(r_{i j}^{-12}-2 r_{i j}^{-6}\right) \tag{1}
\end{equation*}
$$

where $V_{\mathrm{LJ}}$ is the potential in units of the depth of the potential well, $r_{i j}=\left|\mathbf{r}_{i}-\mathbf{r}_{j}\right|$ is the distance between atom $i$ and atom $j$ in units of the equilibrium distance, and $n$ is the size of the cluster.

We have established a growth sequence for the initial configuration of a cluster of size $n$ in a hexagonal packing system. The resulting energies of these structures prove to be the global minima obtained from our unbiased random search for many cluster sizes.

We conduct an unbiased random search for the absolute or global minimum of (1). We use the Big Bang Algorithm ${ }^{1}$, modified for the two-dimensional case, to generate random initial configurations of the clusters and the initial expansion of the clusters. We perform local minimizations starting from the cluster configurations after this initial expansion.

## II. METHOD

The construction of clusters by growth sequence greatly facilitates the search for prospective minima. In this approach, also called the "greedy" method, a cluster is simply the preceding size's cluster with one atom appended. The growth sequence approach saves time and effort because it determines from the previous size the positions of all atoms but one. We compared local minimum energies of small clusters and developed the $g$ growth sequence that generates global minima of all sizes from 1 to 33 .

We used a hexagonal lattice (See J. C. Yang, "Structure and binding of two-dimensional LennardJones clusters," section 2.2, for comparison of clusters on a hexagonal lattice and other clusters). We
assign the atoms to lattice points, where they remain throughout the sequence. The first atom was placed at the origin, and the subsequent six atoms consecutively around the first, counterclockwise from the positive $x$-axis. We then proceeded to a new hexagonal "shell." An intuitive approach was used for several larger sizes. One such case is size ten. Figure 2.1 represents two clusters of size ten. The number for each atom is indicated. In figure (a) the tenth atom contributes two nearest-neighbor bonds to the previous cluster in the sequence, whereas it contributes three nearest-neighbor bonds in figure (b). The structure in figure (b) had, indeed, the lowest energy when it and other clusters of the same size were relaxed via local energy minimization. The consideration of nearest-neighbor interactions narrows the pool of prospective minima.


Figure 2.1 Tentative global minimum energy configurations for a cluster of size ten.

## GROWTH SEQUENCE

Given the number of atoms $n$, we find the layer index $l$, side index $s$, and atom-on-side index $k$ for atom index $i \quad(i=1,2, \ldots n)$ in the most closely packed hexagonal structure with the lowest potential energy for the cluster.

Let the central atom $(l=0)$ have $i=1$ and the first-layer atoms $(l=1)$ have $i=2,3,4,5,6,7$. For $q$ completely packed layers, the number of atoms $n$ is

$$
n=3 q(q+1)+1=1,7,19,37, \ldots
$$

for

$$
q=0,1,2,3, \ldots
$$

Thus

$$
\begin{aligned}
3 q^{2}+3 q+(1-n) & =0 \\
q_{ \pm}(n)=\frac{-3 \pm \sqrt{3^{2}-4(3)(1-n)}}{6} & =\frac{-3 \pm \sqrt{12 n-3}}{6} .
\end{aligned}
$$

Let

$$
l(i)=\left\lceil q_{+}(i)\right\rceil,
$$

the ceiling function of $q_{+}$, or

$$
\begin{equation*}
\left\lceil\frac{\sqrt{12 i-3}-3}{6}\right\rceil \tag{2}
\end{equation*}
$$

Then

$$
\begin{aligned}
& l(1)=\left\lceil\frac{\sqrt{12(1)-3}-3}{6}\right\rceil=0 \\
& l(2)=\left\lceil\frac{\sqrt{12(2)-3}-3}{6}\right\rceil=1, \ldots \\
& l(7)=\left\lceil\frac{\sqrt{12(7)-3}-3}{6}\right\rceil=1
\end{aligned}
$$

Let $m$ be the index of the completed layer immediately inside the layer where atom $i$ is located. Then

$$
m(i)=l(i)-1 .
$$

In addition, the number of atoms $c$ in the core of $m$ completed layers is

$$
c=3 m(m+1)+1=3 l(l-1)+1
$$

Thus the atom $i$ is the $r$ th atom in the $l$ th layer where

$$
r(i)=i-c(i) .
$$

The side index $s$ for the $r$ th atom in the $l$ th layer is

$$
\begin{array}{rlr}
\mathrm{s}(\mathrm{r}) & =1 \text { for } r=1,2, \ldots, l-1 \\
& =2, & l, l+1, \ldots, 2 l-1 \\
& =3, & 2 l, 2 l+1, \ldots, 3 l-1 \\
& =4, & 3 l, 3 l+1, \ldots, 4 l-1 \\
& =5, & \\
& & 4 l, 4 l+1, \ldots, 5 l-1 \\
& =6, & 5 l, 5 l+1, \ldots, 6 l-1,6 l,
\end{array}
$$

Or

$$
s(r)=\left\{\begin{array}{cc}
\left|\frac{r}{l}\right|+1, & \text { if } r<6 l ;  \tag{3}\\
6, & \text { if } r=6 l .
\end{array}\right.
$$

The number of atoms in a completed layer $l$ is $6 l$ :

$$
[3 l(l+1)+1]-\{3(l-1)[(l-1)+1]+1\}=3 l-(-3 l)=6 l .
$$

Accumulative number of atoms $a$ in layer $l$ on all sides before side $s$ is

$$
\begin{aligned}
& a(s)=0 \text { for } s=1 \\
& l-1 \quad 2 \\
& 2 l-1 \quad 3 \\
& 3 l-1 \quad 4 \\
& 4 l-1 \quad 5 \\
& 5 l-1 \quad 6
\end{aligned}
$$

or

$$
a(s)=\left\{\begin{array}{lr}
0, & \text { if } s=1  \tag{4}\\
(s-1) l-1 & \text { if } s>1
\end{array}\right.
$$

The atom-on side index for the $r$ th atom in layer $l$ is

$$
k=r-a[s(r)]
$$

Since

$$
r=r(i)=i-c(i)=i-\{3 l(i)[l(i)-1]+1\},
$$

thus

$$
k=k(i) .
$$

These, combined with (2),(3), and (4),

$$
\begin{aligned}
& l(i)=\left[\frac{-3+\sqrt{12 i-3}}{6}\right], \\
& s(r)=\left\{\begin{array}{cc}
{\left[\frac{r}{l}\right]+1, \text { if } r<6 l} \\
6, & \text { if } r=6 l
\end{array}\right.
\end{aligned}
$$

and

$$
a(s)=\left\{\begin{array}{lr}
0, & \text { if } s=1 \\
(s-1) l-1 & \text { if } s>1
\end{array}\right.
$$

uniquely define $l(\geq 2), s, k$ for each $i$.

Assignment of $(x, y)$ coordinates in a nonrectangular coordinate system (See Figure 2.2):

If

$$
\begin{gathered}
i=1,2,3,4,5,6,7 \\
x=0,0,-1,-1,0,1,1 \\
y=0,1,1,0,-1,-1,0
\end{gathered}
$$

For $i \geq 8$,
$s=1, \quad x=\left\{\begin{array}{l}\frac{l}{2}+(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { even } \\ \frac{l+1}{2}-(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { odd }\end{array}, y=l-x\right.$

$$
\begin{aligned}
& s=2, \\
& x=\left\{\begin{array}{l}
-\frac{l}{2}+(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { even } \\
-\frac{l-1}{2}-(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { odd }
\end{array}, y=l\right. \\
& s=3, \\
& x=-l, y=\left\{\begin{array}{l}
\frac{l}{2}+(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { even } \\
\frac{l+1}{2}-(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { odd }
\end{array}\right. \\
& s=4, \\
& x=\left\{\begin{array}{c}
-\frac{l}{2}-(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { even } \\
-\frac{l+1}{2}+(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { odd }
\end{array} \quad, y=-l-x\right. \\
& s=5, \\
& x=\left\{\begin{array}{l}
\frac{l}{2}-(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { even } \\
\frac{l-1}{2}+(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { odd }
\end{array}, y=-l\right. \\
& s=6, \\
& x=l, y=\left\{\begin{array}{c}
-\frac{l}{2}-(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { even } \\
-\frac{l+1}{2}+(-1)^{k}\left\lfloor\frac{k}{2}\right\rfloor, l \text { odd }
\end{array} .\right.
\end{aligned}
$$



Figure 2.2 Growth sequence configuration for 127 , with shells depicted by shading.

GLOBAL MINIMA

We wish to find the absolute or global minimum of (1). We use the Big Bang Algorithm ${ }^{1}$, modified for the two-dimensional case, to generate random initial configurations of the clusters and the initial expansion of the clusters. We perform local minimizations starting from the cluster configurations after this initial expansion. (See J. C. Yang, "Structure and binding of two-dimensional Lennard-Jones clusters," for details of the local minimization.) We record the minimum energy obtained from the first local minimization for each cluster size. This is our tentative global minimum on record. We compare subsequent local minimum energies to this tentative global minimum. If a subsequent local minimum energy is lower than the tentative global minimum, that local minimum energy becomes the new tentative global minimum. The tentative global minimum becomes our final global minimum if it is reached 250 times. When a global minimum is reached this many times during an unbiased random search, it is reasonable to terminate the search ${ }^{2}$.

## III. RESULTS

Table 3.1 shows selected energies obtained through our growth sequence. Table 3.2 shows the growth sequence's results and the difference from the global minima obtained through the random search. Averick et. al ${ }^{3}$ have presented their best known minima for selected sizes. The growth sequence's results are lower than or equal to all but two of these minima. Table 3.3 shows these results.

Table 3.1 Energies obtained through growth sequence $\left(V_{g}\right)$ for $n=100 k, k=1,2, \ldots 20$.

| $n$ | $V_{g}$ |
| :---: | :---: |
| 100 | -293.697155 |
| 200 | -613.669740 |
| 300 | -937.703653 |
| 400 | -1263.246885 |
| 500 | -1591.147123 |
| 600 | -1920.310800 |
| 700 | -2249.524398 |
| 800 | -2579.787404 |
| 900 | -2910.362795 |
| 1000 | -3240.965486 |
| 1100 | -3572.706159 |
| 1200 | -3904.281518 |
| 1300 | -4236.242115 |
| 1400 | -4568.037413 |
| 1500 | -4899.806400 |
| 1600 | -5232.862910 |
| 1700 | -5565.912918 |
| 1800 | -5898.852360 |
| 1900 | -6231.812919 |
| 2000 | -6565.062394 |

Table 3.2 Energies obtained through growth sequence $\left(V_{g}\right)$ and their difference from the global minima $\left(V_{\min }\right), 1 \leq n \leq 80$.

| Size | $\left(V_{g}\right)$ | $\left(V_{\min }\right)-V_{g}$ |
| :---: | :---: | :---: |
| 1 | 0 | 0 |
| 2 | -1 | 0 |
| 3 | -3 | 0 |
| 4 | -5.073421 | 0 |
| 5 | -7.178024 | 0 |
| 6 | -9.358274 | 0 |
| 7 | -12.534867 | 0 |
| 8 | -14.683990 | 0 |
| 9 | -16.909315 | 0 |
| 10 | -20.101613 | 0 |
| 11 | -22.336541 | 0 |
| 12 | -25.566704 | 0 |
| 13 | -27.804066 | 0 |
| 14 | -31.036449 | 0 |
| 15 | -33.277828 | 0 |
| 16 | -36.511685 | 0 |
| 17 | -38.834213 | 0 |
| 18 | -42.078075 | 0 |
| 19 | -45.351119 | 0 |
| 20 | -47.595053 | 0 |
| 21 | -50.833597 | 0 |
| 22 | -53.158738 | 0 |
| 23 | -56.407376 | 0 |
| 24 | -59.681547 | 0 |
| 25 | -62.008560 | 0 |
| 26 | -65.257914 | 0 |
| 27 | -68.536250 | 0 |
| 28 | -70.863608 | 0 |
| 29 | -74.113240 | 0 |
| 30 | -77.391824 | 0 |
| 31 | -79.719688 | 0 |
| 32 | -82.970143 | 0 |
| 33 | -86.248911 | 0 |
| 34 | -88.584684 | -0.0531159 |
| 35 | -91.919076 | 0 |
| 36 | -95.200430 | 0 |
| 37 | -98.483470 | 0 |
| 38 | -100.812017 | 0 |
| 39 | -104.062843 | 0 |
| 40 | -107.342522 | 0 |


| 41 | -109.678989 | -0.0525360 |
| :---: | :---: | :---: |
| 42 | -113.013762 | 0 |
| 43 | -116.296059 | 0 |
| 44 | -119.579281 | 0 |
| 45 | -121.916015 | -0.0511305 |
| 46 | -125.251317 | 0 |
| 47 | -128.533746 | 0 |
| 48 | -131.817784 | 0 |
| 49 | -134.154604 | -0.0511233 |
| 50 | -137.489982 | 0 |
| 51 | -140.772471 | 0 |
| 52 | -144.056559 | 0 |
| 53 | -146.393573 | -0.0512017 |
| 54 | -149.729049 | 0 |
| 55 | -153.011772 | 0 |
| 56 | -156.295899 | 0 |
| 57 | -158.640929 | -0.1341975 |
| 58 | -161.977951 | -0.0824305 |
| 59 | -165.345572 | $-5.5647126 \mathrm{E}-05$ |
| 60 | -168.630708 | 0 |
| 61 | -171.915664 | 0 |
| 62 | -174.252839 | -0.0506046 |
| 63 | -177.588544 | 0 |
| 64 | -180.871358 | 0 |
| 65 | -184.155736 | 0 |
| 66 | -186.500932 | -0.1342838 |
| 67 | -189.838184 | -0.0825644 |
| 68 | -193.205900 | -0.0003248 |
| 69 | -196.491298 | 0 |
| 70 | -199.776300 | 0 |
| 71 | -202.121616 | -0.1342229 |
| 72 | -205.458929 | -0.0825639 |
| 73 | -208.826834 | -0.0001217 |
| 74 | -212.112269 | 0 |
| 75 | -215.397494 | 0 |
| 76 | -217.742842 | -0.1343681 |
| 77 | -221.080180 | -0.0825705 |
| 78 | -224.448109 | -0.0001479 |
| 79 | -227.733561 | 0 |
| 80 | -231.018801 | 0 |
|  |  |  |

Table 3.3 Comparison of energies obtained through growth sequence $\left(V_{g}\right)$ with previous best known minima $\left(\min \left\{V_{2}\right\}\right.$ ) from Ref. 3.

| $n$ | $-\min \left\{V_{2}\right\}$ <br> (Ref. 3) | $-V_{g}$ |
| :---: | :---: | :---: |
| 100 | 293.697 | 293.697155 |
| 200 | 613.700 | 613.669740 |
| 300 | 937.704 | 937.703653 |
| 400 | 1263.247 | 1263.246885 |
| 500 | 1591.147 | 1591.147123 |
| 600 | 1920.311 | 1920.310800 |
| 700 | 2249.524 | 2249.524398 |
| 800 | 2579.787 | 2579.787404 |
| 900 | 2910.363 | 2910.362795 |
| 1000 | 3240.966 | 3240.965486 |
| 2000 | 6565.062 | 6565.062394 |
| 3000 | 9902.100 | 9902.102728 |
| 4000 | 13245.562 | 13245.561388 |
| 5000 | 16595.435 | 16595.434511 |
| 6000 | 19946.654 | 19946.653932 |
| 7000 | 23301.707 | 23301.709632 |
| 8000 | 26658.053 | 26658.055706 |
| 9000 | 30015.692 | 30015.692059 |
| 10000 | 33374.616 | 33374.616004 |

## IV. CONCLUSION

We have developed a growth sequence that predicts the global minimum energy for a cluster of $n$ atoms. After conducting a random search for global minima, we found that the growth sequence produced 62 of the global minima for $1 \leq n \leq 80$. The growth sequence has yielded energies lower than or equal to the previous best known minima for all but two of 19 sizes reported in MINPACK-2. It will serve as a basis for further candidates for global minima.

## References

1. R. H. Leary, J. Global Optimization 11, 50 (1997).
2. J. A. Northby, J. Chem. Phys. 87, 6166 (1987).
3. B.M. Averick, R. G. Carter, J. J. Moré, and G. L. Xue, "The MINPACK-2 Test Problem Collection," Argonne National Laboratory, Mathematics and Computer Science Division, Technical Memorandum No. 150, June 1992, p. 44.
